

# ESEA Financial Issues

Presented by:

The WVDE Office of Federal Programs

July 9, 2013

# ESEA Flexibility

- WV ESEA Flexibility Request approved by USDE on May 20, 2013
- Three main Flexibility Principles:
  - College and Career Ready Standards and Assessments
  - Differentiated Accountability and Support Systems for School Improvement
  - Teacher Quality

# ESEA Flexibility

- Basic Title Program purposes and allowable expense rules remain the same
- Changes in School Improvement requirements will impact Title I budgets
  - No more school choice (transportation costs)
  - No more Supplemental Educational Services

# New ESEA Consolidated Application

- Separated from the Five-Year Strategic Plan
- Excel format submitted to Office of Federal Programs via email  
([eamccoy@access.k12.wv.us](mailto:eamccoy@access.k12.wv.us))
- Budgets must be entered into WVEIS by the county as a separate action

**Office of Federal Programs**  
**1900 Kanawha Blvd E. Building 6 Room 330**  
**Charleston, West Virginia 25305**

Revision: ▲  
Revision #   
Date:   
[Explain](#)

Amendment: ▲  
Amendment #   
Date:   
[Explain](#)

**A. COVER PAGE**

2013-2014 LEA Consolidated Application

*Elementary and Secondary Education Act of 1965 (ESEA), as amended by  
the No Child Left Behind Act of 2001. Public Law 107-110*

**To be Completed by LEA**

County	County Number	Coordinator of Consolidated Application			
West County	111				
Mailing Address (Street, City or Town, Zip Code)		E-mail			
1900 Kanawha Blvd E, Charleston, WV 25305					
Phone: 558-7805	Fax: 558-4509	Phone (ext):		Fax:	

**LOCAL EDUCATIONAL AGENCY CERTIFICATION**

**Use of Funds:** The applicant designated above applies for an allocation of federal assistance as appropriated under ESEA. Funds are available to support local education reform efforts that are consistent with statewide education reform efforts to: 1) provide funding to implement promising education reform programs and school improvement programs based on scientifically-based research; 2) provide a continuing source of innovative and educational improvement; 3) meet the educational needs of all students; and 4) develop and implement education programs to improve student achievement and teacher performance.

Specific uses of funds for this application are found in the "Guidelines, Instructions, and Assurances" document located on the Department of Education's Web site at:

<http://wvde.state.wv.us/federal-programs/>

**Assurances:** The local educational agency assures that the programs identified in the application will be administered and implemented in compliance with all applicable statutes, regulations, policies, and program plans under ESEA. **Additionally, the local educational agency agrees by signing below to implement the general and program specific assurances located in the "Application Guidelines, Instructions, and Assurances" document. The assurances and signed cover page are to be retained at the county level.**

**Certification:** We hereby certify that, to the best of our knowledge, the information contained in this application is correct. The agency named above has authorized us as its representatives to file this application, and such action is recorded in the minutes of the School Board meeting held

on .

39	Count	Test County	County Number: 111
41	<b>B. PROGRAMS INCLUDED IN THE CONSOLIDATED APPLICATION</b>		
42	<b>ELIGIBLE PROGRAMS</b>		<b>Requesting Funds for 2013-2014 Yes or No</b>
43			<b>2013-2014 Total Budget (Current Year Allocation + Previous Year Carryover)</b>
44	<b>Title I, Part A, Improving Basic Programs</b>		\$ 520,000.00
45	a. County Allocation 2013-2014	Subtotal \$ 520,000.00	
46	b. Carryover from Previous Year	Subtotal	
47	c. School Improvement 1003(a) Grant (not calculated in total)	Subtotal	
48	<b>Title II, Part A, Teacher Quality</b>		\$ 100,000.00
49	a. County Allocation 2013-2014	Subtotal \$ 100,000.00	
50	b. Carryover from Previous Year	Subtotal	
51	<b>Title III, Part A, Limited English Proficient</b>		\$ 1,000.00
52	a. Federal	Subtotal \$ 1,000.00	
53	b. Carryover from Previous Year	Subtotal	
54	c. State ESL (not calculated in total)	Subtotal \$ 100.00	
55	<b>Title VI, Part B, Subpart 2, Rural and Low-Income School Program</b>		\$ 20,000.00
56	a. County Allocation 2013-2014	Subtotal \$ 20,000.00	
57	b. Carryover from Previous Year	Subtotal	
61	<b>TOTAL</b>		<b>\$ 641,000.00</b>

**D. PROGRAM OVERVIEW**

In narrative format:

Describe, as applicable, how programs funded by this ESEA Consolidated Application will align with West Virginia's ESEA Flexibility Request as follows:

- Supporting student mastery of West Virginia Next Generation Content Standards and assessments;
- Meeting annual measurable objective (AMO) targets for reading and mathematics that demonstrate academic growth for all students and sub-groups over time, and, for high schools with a graduating class, meeting the graduation rate target; and
- Ensuring that students are taught by highly qualified and effective teachers and providing meaningful professional development and support to promote effective instruction to increase student achievement.

Provide a description of the specific school improvement needs that will be supported with this ESEA funding. (Make reference to applicable subgroups.)

**E. COORDINATION OF SERVICES**

Describe the collaboration among the programs in this application and other federal, state, and/or local programs in the delivery of services to the targeted population(s). Describe the collaboration of program staff, parents, and the community to provide services and activities that will contribute to the attainment of the measurable objectives in this application.

82 County Test County County Number: 111

83 **G. DETAILED PERSONNEL FUNDING INFORMATION**

84 Required if staff positions are to be funded by federal funds.

85

86	TYPES OF STAFF POSITIONS Administrative, Teacher, Paraprofessionals, Reading Specialists, Home School Coordinator, Other (please specify)	Number of Positions	FTE FEDERAL PROGRAM FUNDING SOURCES				FTE NON-FEDERAL FUNDING SOURCE	DESCRIPTION
			Title I	Title II	Title III	Title VI		
87								
88								
89								
90								
91								
92								
93								
94								
95								
96								
97								
98								
99								
100								
101								



1 County: Test County County Number: 111

WVDE P

2 LOCAL EDUCATION AGENCY BUDGET SUMMARY

3 AMOUNT BUDGETED

		Title I, Part A			Title II, Part A Allocation		Title III, Part A			Title VI, Part B, Subpart 2 Allocation	
4	A. Allocation from Page 2 of the Coordinated Consolidated Info. Tab	\$ 520,000.00	\$ -	\$ -	\$ 100,000.00	\$ -	\$ 100.00	\$ 1,000.00	\$ -	\$ 20,000.00	\$ -
5		Title I, Part A Allocation	Carryover from Previous Year	School Improvement 1003(a) Grant	Title II, Part A Allocation	Carryover from Previous Year	State	Federal	Carryover from Previous Year	Title VI, Part B, Subpart 2 Allocation	Carryover from Previous Year
6											
7											
8											

9 B. REQUIRED SET-ASIDES

10	Parent Involvement	\$5,200.00	0.00								
11	* Portion of set aside allocated for schools	\$4,940.00	\$ -								
12	* Portion of set side utilized at LEA Level	\$260.00	\$ -								
13	Highly Qualified Professional Development (Can not exceed 5% of the total LEA Allocation)	0.00	0.00								
14	Neglected and Delinquent (If Applicable)	0.00	0.00								
15	Homeless	0.00	0.00								
16	Indirect Cost		0.00								

17 C. OPTIONAL SET-ASIDES

18



County: Test County County Number: 111

**LOCAL EDUCATION AGENCY BUDGET SUMMARY**

**AMOUNT BUDGETED**

A. Allocation from Page 2 of the Coordinated Consolidated Info. Tab	Title I, Part A			Title II, Part A Allocation		Title III, Part A			Title VI, Part B, Subpart 2 Allocation	
	\$ 520,000.00	\$ -	\$ -	\$ 100,000.00	\$ -	\$ 100.00	\$ 1,000.00	\$ -	\$ 20,000.00	\$ -
	Title I, Part A Allocation	Carryover from Previous Year	School Improvement t 1003(a) Grant	Title II, Part A Allocation	Carryover from Previous Year	State	Federal	Carryover from Previous Year	Title VI, Part B, Subpart 2 Allocation	Carryover from Previous Year

C. OPTIONAL SET-ASIDES										
Additional Parent Involvement	0.00	0.00								
Administrative	0.00									
Other Instructional Programs	0.00	0.00								
Other (Specify)	0.00	0.00								
Priority/Support/Focus Schools	0.00	0.00		0.00	0.00				0.00	0.00
<b>TOTAL SET ASIDE FOR LEA LEVEL</b>	\$260.00	\$ -								
<b>TOTAL ALLOCATED TO SCHOOLS</b>	\$ 519,740.00	\$ -								

**D. LEA Budget by Function/Object Code**

Instructional K-12										
Object Code 100	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Object Code 200	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00



County: Test County County Number: 111

**LOCAL EDUCATION AGENCY BUDGET SUMMARY**

**AMOUNT BUDGETED**

A. Allocation from Page 2 of the Coordinated Consolidated Info. Tab	Title I, Part A			Title II, Part A Allocation		Title III, Part A			Title VI, Part B, Subpart 2 Allocation	
	\$ 520,000.00	\$ -	\$ -	\$ 100,000.00	\$ -	\$ 100.00	\$ 1,000.00	\$ -	\$ 20,000.00	\$ -
	Title I, Part A Allocation	Carryover from Previous Year	School Improvement t 1003(a) Grant	Title II, Part A Allocation	Carryover from Previous Year	State	Federal	Carryover from Previous Year	Title VI, Part B, Subpart 2 Allocation	Carryover from Previous Year

**D. LEA Budget by Function/Object Code**

**Instructional K-12**

Object Code 100	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Object Code 200	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Object Code 300	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Object Code 400	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Object Code 500	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Object Code 600	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Object Code 700	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Object Code 800	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total Instructional K-</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

**Support Services (Attendance & Social Services, Guidance Counseling, Psychology - General)**

Object Code 100	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Object Code 200	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Object Code 300	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Object Code 400	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Object Code 500	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Object Code 600	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

County: Test County County Number: 111

**LOCAL EDUCATION AGENCY BUDGET SUMMARY**

**AMOUNT BUDGETED**

A. Allocation from Page 2 of the Coordinated Consolidated Info. Tab	Title I, Part A			Title II, Part A Allocation		Title III, Part A			Title VI, Part B, Subpart 2 Allocation	
	\$ 520,000.00	\$ -	\$ -	\$ 100,000.00	\$ -	\$ 100.00	\$ 1,000.00	\$ -	\$ 20,000.00	\$ -
	Title I, Part A Allocation	Carryover from Previous Year	School Improvement 1003(a) Grant	Title II, Part A Allocation	Carryover from Previous Year	State	Federal	Carryover from Previous Year	Title VI, Part B, Subpart 2 Allocation	Carryover from Previous Year
Total Administration	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>TOTAL BUDGET</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

<b>DOES BUDGET EQUAL ALLOCATION?</b>	NO	YES	YES	NO	YES	NO	YES	NO	NO
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County: Test County County Number: 111

These accounts are for budgeting and recording expenditures of the educational agency for activities under its control. Below are definitions of the major expenditure categories. The descriptions provided are examples only. For further clarification on the proper expenditures of funds, contact your county budget or finance office, your Federal Program coordinator in the West Virginia Department of Education, or refer to the appropriate federal act.

**OBJECT CODE DEFINITIONS:**

**100 Personal Services - Salaries.** Amounts paid to both permanent and temporary LEA employees, including personnel substituting for those in permanent positions. This includes gross salary for personal services rendered while on the payroll of the LEA.

1	County:			Test County	County Number:			111
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**SPECIFIC PROGRAM APPLICATION FORMS**

**Title I, Part A – Improving Basic Programs**

**A. TITLE I, PART A, MAINTENANCE OF EFFORT**

**Average Per Pupil Expenditure from Non-Federal Funds:**

6	(A) For FY Ending June 30, 2011	\$8,000.00	(B) For FY Ending June 30, 2012	\$9,000.00
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**B. DISTRICT PERCENTAGE OF LOW INCOME STUDENTS**

8	80.00%	Percent Needy
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**C. TITLE I, PART A, ELIGIBLE ATTENDANCE AREAS**

**SOURCES OF DATA FOR DETERMINING UNDUPLICATED NUMBER OF CHILDREN, AGES 5-17, FROM LOW-INCOME FAMILIES (Indicate ALL Sources with an "X")**

13	<input type="checkbox"/>	Free/Reduced Lunch / Direct Certification	<input type="checkbox"/>	Children Eligible for Medicaid
14	<input type="checkbox"/>	Aid for Families with Dependent Children (AFDC)	<input type="checkbox"/>	Most Recent U.S. Census Bureau Information
15	<input type="checkbox"/>	Feeder School		

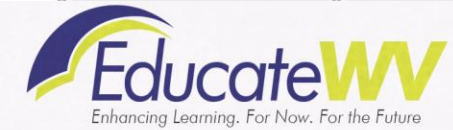
**ELIGIBLE ATTENDANCE AREAS (Indicate with an "X")**

17	<input type="checkbox"/>	Grade-Span Ranking	<input type="checkbox"/>	Single Ranking	<input type="checkbox"/>	35% Rule
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**SCHOOL ELIGIBILITY ALLOCATION (Indicate with an "X")**

19	<input type="checkbox"/>	Residency/Attendance Area	<input type="checkbox"/>	Actual Enrollment
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21	County:	Test County	County Number:	111
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--	---	---	---	---	---	---	---	---	---	---	---	---	---

D. SCHOOL RANKING SHEET												
School Name	Grade Span	Rank Number	Eligible Schools 1=Eligible 0= Not Eligible A=SWP B= Planning SWP C= TAS D=Not Served	Community Eligibility Option School for SY 2012-2013? (YES/NO)	Enrollment	# of CEO / Low Income Children	# of Direct Certified / Low Income Children	Number used to determine Per Pupil Expenditures	% of Low Income Children	Per Pupil Expenditure from New Allocation	Per Pupil Expenditure for Parental Involvement	Total Parental Involvement
A Primary School	PreK-5	1	1A	Yes	400	320	200	320	80.00%	1,000.00	\$ 8.84	\$ 2,828.00
A High School	9-12	3	1A	Yes	300	160	100	160	53.33%	770.00	\$ 8.84	\$ 1,414.00
A Middle School	6-8	2	1A	Yes	300	160	100	160	53.33%	770.00	\$ 8.84	\$ 1,414.00
<b>Totals</b>					1000	640						

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County: Test County County Number: 111

School Name	Title I Funded Professionals	Title I Funded Paraprofessionals	Title I - Funded Personnel Salaries and Benefits	Per Pupil Balance	Low Income Pupil/ Title I Personnel Ratio
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Only Title I Priority schools (identified through the Title I ranking procedures) may be funded with Title I Priority/Support/Focus set-aside funding. LEAs may use up to 20% of the set aside previously reserved for school choice and supplemental educational services to fund school improvement activities in Title I Priority schools. Provide a brief narrative explaining how the LEA will utilize this set-aside to implement school improvement activities in Title I Priority schools.

All Priority schools (regardless of Title I status) may be funded with Title II, Title VI, and other local, state and/or federal funds. Provide a brief narrative describing how the LEA will utilize Title II and Title VI funds to implement school improvement activities in Priority schools.

LEAs may request Title I School Improvement 1003(a) funding from the West Virginia Department of Education through this application to provide supplemental support to Title I Priority Schools. Describe how the LEA will utilize School Improvement 1003(a) funding to supplement Title I, Part A for Title I Priority School activities related to the Turnaround Principles.

Priority School Name	Title I School? Yes/No	Title I Funding	Title II Funding	Title VI Funding
A Primary School	Yes			
--	No	N/A		
--		N/A		

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	County: Test County County Number: 111																WVDE Feedback	Date
2	Title III, Part A, Limited English Proficient																	
3	Sections 3116 and 3121 of the ESEA require that subgrantees accepting Title III funds submit a local plan that includes the following components:																	
4	<ul style="list-style-type: none"> <li>• A description of how the use of Title III funds is supplemental to the core programs and services for ELLs required under other local, state, and federal laws</li> <li>• A description of the instructional programs and services designed to help ELLs make progress in learning English and attain English proficiency, while meeting the state's academic content standards</li> <li>• A description of how parental and community participation will be promoted (Objective)</li> <li>• A description of high quality professional development to be provided for educators of ELLs. Professional development funded by Title III must be of sufficient intensity and duration to have a positive and lasting impact on teacher performance (WVSIPP)</li> </ul>																	
5	<b>In narrative format:</b>																	
6	A. Describe how the use of Title III funds is supplemental to the core programs and services for ELLs required under other local, state, and federal laws. Include if applicable any extended day/summer programs and materials or																	
7																		
8	B. Describe the instructional programs and services designed to help ELLs make progress in learning English and attain English proficiency, while meeting the state's academic content standards.																	
9																		



C. High quality professional development must be provided for educators of ELLs. Professional development funded by Title III must be of sufficient intensity and duration to have a positive and lasting impact on teacher performance. I certify that all Title III Professional Development Activities are recorded in the WVSIPP.

**TITLE III CONSORTIUM AGREEMENT, 2013-2014**

According to Title III, Part A, Section 3114 (b), a state educational agency shall not award an LEP subgrant if the amount of the subgrant is less than \$10,000. However, the law permits LEAs to apply in consortium with one or more other school divisions to reach the \$10,000 threshold.

**E. Lead Consortium County/RESA**

Name of Lead County/RESA	RESA 4
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I certify that the county will be participating in the designated consortium for the 2013-2014 year.

**F. MAINTENANCE OF EFFORT**

(A) For FY Ending June 30, 2011	\$8,000.00	(B) For FY Ending June, 30, 2012	\$9,000.00
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5 These expenditures must be aligned with the identified priorities and measurable objectives indicated in the  
6 application. They must also support NCLB Performance Goals. The Rural and Low-Income School Program  
7 grant may support the following Program Objectives:

- 8 1. Recruitment and retention of teachers, including the use of signing bonuses and other financial
- 9 2. Professional development for teachers, including programs that train teachers to utilize technology to  
10 improve teaching and to train teachers of students with special needs.
- 11 3. Parental involvement activities.
- 12 4. Activities authorized under Title I, Part A, Improving Basic Programs Operated by Local Educational  
13 Agencies.
- 14 5. Activities authorized under Title II, Part A, Teacher and Principal Training and Recruiting Funds.
- 15 6. Activities authorized under Title III, Part A, Language Instruction for Limited English Proficient and  
16 Immigrant Youth.
- 17 7. Activities to assist Priority, Support and Focus schools.

**A. Enhanced Student Achievement or Division Improvement Activities Being Implemented With  
Title VI, Part B, Subpart 2, Funds**

Describe how funds under this subpart will be used in conjunction with other federal funds in order to  
enhance student achievement or how they will be used for LEA school improvement activities.

COUNTY BUDGET FOR LEA TITLE I PLAN FY'					
5 Digit Program Function Code	3 Digit Object Code	Description	Current Year Funds Budget	Carryover Funds Budget	Total Budget for This Line Item
INSTRUCTION K-12					
		Professional Personnel			\$0.00
11111	111	Enter the number of FTE professionals ( )			
11111	112	Professional Supplemental Salaries			\$0.00
11111	121	Service Personnel <i>Regular</i>			\$0.00
Enter the number of FTE paraprofessionals and aides ( )					
11111	131	Professional Personnel <i>Substitutes Regular</i>			\$0.00
11111	141	Service Personnel <i>Substitutes Regular</i>			\$0.00
11111	151	Temporary Part-time Professional Staff			\$0.00
11111	211	Fixed Charges/Employee Benefits: Health/Accident/Life			\$0.00
11111	212	Fixed Charges/Employee Benefits: Dental			\$0.00
11111	213	Fixed Charges/Employee Benefits: Optical			\$0.00
11111	214	Fixed Charges/Employee Benefits: Income Protection			\$0.00
11111	218	Fixed Charges/OPEB expense			\$0.00
11111	221	Fixed Charges/Employee Benefits: Social Security Contributions			\$0.00
11111	231	Fixed Charges/Employee Benefits: Defined Benefit Plan (I)			\$0.00
11111	232	Fixed Charges/Employee Benefits: Defined Contribution Plan (II)			\$0.00

COUNTY TOTAL ANNUAL BUDGET FOR LEA TITLE I PLAN FY'					
5 Digit Program Function Code	3 Digit Object Code	Description	Current Year Funds Budget	Carryover Funds Budget	Total Budget for This Line Item
INSTRUCTION K-12					
		Professional Personnel	\$0.00	\$0.00	\$0.00
11111	111	Enter the number of FTE professionals ( )			
11111	112	Professional Supplemental Salaries	\$0.00	\$0.00	\$0.00
11111	121	Service Personnel <i>Regular</i>	\$0.00	\$0.00	\$0.00
Enter the number of FTE paraprofessionals and aides ( )					
11111	131	Professional Personnel <i>Substitutes Regular</i>	\$0.00	\$0.00	\$0.00
11111	141	Service Personnel <i>Substitutes Regular</i>	\$0.00	\$0.00	\$0.00
11111	151	Temporary Part-time Professional Staff	\$0.00	\$0.00	\$0.00
11111	211	Fixed Charges/Employee Benefits: Health/Accident/Life	\$0.00	\$0.00	\$0.00
11111	212	Fixed Charges/Employee Benefits: Dental	\$0.00	\$0.00	\$0.00
11111	213	Fixed Charges/Employee Benefits: Optical	\$0.00	\$0.00	\$0.00
11111	214	Fixed Charges/Employee Benefits: Income Protection	\$0.00	\$0.00	\$0.00
11111	218	Fixed Charges/OPEB expense	\$0.00	\$0.00	\$0.00
11111	221	Fixed Charges/Employee Benefits: Social Security Contributions	\$0.00	\$0.00	\$0.00
11111	231	Fixed Charges/Employee Benefits: Defined Benefit Plan (I)	\$0.00	\$0.00	\$0.00
11111	232	Fixed Charges/Employee Benefits: Defined Contribution Plan (II)	\$0.00	\$0.00	\$0.00
11111	233	Fixed Charges/Employee Benefits: Defined Contribution Plan (IV)	\$0.00	\$0.00	\$0.00

SCHOOL BUDGET FOR LEA TITLE I PLAN FY'					
5 Digit Program Function Code	3 Digit Object Code	Description	Current Year Funds Budget	Carryover Funds Budget	Total Budget for This Line Item
INSTRUCTION K-12					
		Professional Personnel			\$0.00
11111	111	Enter the number of FTE professionals ( )			
11111	112	Professional Supplemental Salaries			\$0.00
11111	121	Service Personnel <i>Regular</i>			\$0.00
Enter the number of FTE paraprofessionals and aides ( )					
11111	131	Professional Personnel <i>Substitutes Regular</i>			\$0.00
11111	141	Service Personnel <i>Substitutes Regular</i>			\$0.00
11111	151	Temporary Part-time Professional Staff			\$0.00
11111	211	Fixed Charges/Employee Benefits: Health/Accident/Life			\$0.00
11111	212	Fixed Charges/Employee Benefits: Dental			\$0.00
11111	213	Fixed Charges/Employee Benefits: Optical			\$0.00
11111	214	Fixed Charges/Employee Benefits: Income Protection			\$0.00
11111	218	Fixed Charges/OPEB expense			\$0.00
11111	221	Fixed Charges/Employee Benefits: Social Security Contributions			\$0.00
11111	231	Fixed Charges/Employee Benefits: Defined Benefit Plan (I)			\$0.00
11111	232	Fixed Charges/Employee Benefits: Defined Contribution Plan (II)			\$0.00
11111	233	Fixed Charges/Employee Benefits: Defined Contribution Plan (IV)			\$0.00
11111	251	Fixed Charges/Employee Benefits: Unemployment			\$0.00
11111	261	Fixed Charges/Employee Benefits: Workers Compensation			\$0.00

# FY14 Title I Grant Awards

- The USDE increased the Title I allocation for the 2012-13 school year
- The increased funding has been applied to the LEA allocation formula
- Increases/decreases will be applied to the 2013-14 allocation represented in the ESEA Consolidated Application
- Counties with an increase will receive 2 grant awards
  - One small one with an obligation of 9/30/14
  - One large one with an obligation of 9/30/15

# Statewide Carryover Waiver for FY13 (2012-13) Funding

- USED has offered statewide carryover waivers for 2012-13 Title I funding – WV has submitted, currently awaiting approval
- Title II and Title VI (RLIS) has always followed the Title I carryover rules for consistently (not connected to federal regulation); these 2 programs will be treated the same as Title I with the statewide waiver
- Federal Program Directors and Finance Directors will be notified as soon as USED approval is received and will be provided new Carryover Waiver Request forms

# Title I Inventory

- Title I Inventory will be consistent with all other programs (state and federal) with regard to inventory of equipment
- Note the new Inventory Guidance posted at [http://wvde.state.wv.us/titlei/fi\\_inventory.html](http://wvde.state.wv.us/titlei/fi_inventory.html)

# Consolidated ESEA Monitoring

New guidance will be issued on or before September 1 to align the new monitoring protocol for ESEA Flexibility states

- Federal monitoring of WVDE for Flexibility will occur in the fall
- Implementation of a statewide “risk assessment” system to determine monitoring schedule
- Expect desk audit for compliance monitoring (document upload system)
- Webinar in August

# Title I School Ranking Rules for Community Eligibility Option Schools

Rules for Title I school ranking when schools operate the USDA Community Eligibility Option

- Title I rankings maintain use of the previous school year's "5-17 Percent Needy" report
- The "5-17 Percent Needy" report issued after the 2<sup>nd</sup> Month Report each fall should be used for Title I ranking and personnel planning for the following year



# Title III Issues

- Formula-based Federal Title III grants are awarded based on the number of LEP students enrolled. (WVEIS data)
- 3114 (b) LIMITATION- A State educational agency shall not award a subgrant from an allocation made under subsection (a) if the amount of such subgrant would be less than \$10,000.
- (b) ADMINISTRATIVE EXPENSES- Each eligible entity receiving funds under section 3114(a) for a fiscal year may use not more than 2 percent of such funds for the cost of administering this subpart.

# Breakout Presentation

# ESEA Monitoring and Fiscal Issues

- Fiscal Issues – 43% of the LEA monitoring findings
  - Reasonable and necessary costs
  - Supplement v. Supplant
  - Indirect Cost
  - Record of Program Review
  - Inventory
  - Time and Effort
  - Contracts and Invoices

## 7.1 Expending funds for administrative costs that are reasonable and necessary

- Ensure expenditures are **reasonable and necessary** for proper and efficient performance and administration of the federal program
- Ensure expenditures are **allowable expenditures** according to OMB Circular A-87

## 7.2 Supplement v. Supplant

- Be conscious of supplement v. supplant issues
  - Purchase of materials and equipment
  - Teacher salaries
  - Title I funded staff members substituting for teachers
  - Title I teachers are used daily to cover teacher planning periods
  - Child nutrition program
  - Title I funds to support attendance at non-Title I conferences and trainings for principals
  - Title II & Title III use of funds outside of program purpose

## 7.3 Indirect Cost

- Use restricted indirect cost amount
- Use appropriate fiscal year's rate – **year in which funds are expended**
- Ensure the amount withdrawn does not exceed the rate allowable for the amount of funding which has been expended

## 7.4 Audit Records

- Follow written procurement procedures – WVBE Policy 8200
  - Samples of records for purchases
    - Requisition, purchase order, invoice, record of payment
    - Approval process – Director/Coordinator Signature
    - Avoid Blanket purchase orders
- Audit reports
- Audit Responses

## 7.5, 7.6, & 7.7 Equipment Inventory

- Maintain an updated inventory list, including the following information:
  - description of the item;
  - serial number;
  - acquisition date;
  - funding source and the % of funding from each source;
  - purchase price;
  - location; and
  - Condition
- Sign out procedures for using equipment off-site & Private Schools
- Conduct and document the date of a physical inventory at least once every two years – **Signed and dated**



## 7.8 Budget Transfers

- Maintain documentation of properly signed budget transfers – the new automated approval system within WVEIS does this for you

## 7.9, & 7.10 Time and Effort

- Maintain time and effort documentation
  - Monthly personnel activity reports-  
reconciled quarterly
  - Semi annual certification

## 7.11 Written contracts

- Contracts are required for any supplemental work paid with Title I,II,III funds (e.g., consulting services, after school programs)
  - Description of services
  - Number of days of contracted service
  - Total cost of all services described in the agreement
  - Project code
  - Appropriate signatures from both entities

## 7.11 Continued - Invoices

- Must be written and describe services performed or goods delivered
- Include dates services were performed or goods were delivered
- Must state the location services were performed or goods were delivered
- Include a description of students/teachers, etc. served (if applicable)
- Must be reviewed and approved prior to payment

# Private Schools

- 6.2 Documentation of expenditures for services for private school students
- 6.3 Professional Development
- 6.4 Parent Involvement
- 6.5 Use LEA employees or a contract by the LEA
- 6.7 & 6.8 Equipment Inventory & Tracking
- Third-party Contracts
- The quandary – offer services within the constraints of conflicting regulations
  - Can't give funds directly to the private school (federal)
  - Can't reimburse travel to a non-employee (private school teachers)

# Homeless Set-aside

- 9.2a Administration of the homeless set aside

## 2.6 Highly Qualified Set-Aside

- 5% set-aside to assist teachers in becoming highly qualified
  - Tuition Reimbursement Policy/Procedures
  - Tuition reimbursement documentation

# Is This an Allowable Cost?



# Is this an allowable cost?

Using the 'Allowable Costs Flow Chart' and the information you have heard today about Federal Programs funding, work with your tablemates to respond to the scenario(s) you have been given.

Choose a reporter for your table who will be prepared to report on your responses to "Is this an allowable cost?"

- If you have determined 'yes' – how can you support your answer?
- If you have determined 'no' – what would be your response?

Can it be resolved?

# Is this an allowable cost? 1

Two of the schools in your LEA have been identified as Priority schools. You want to hire a school improvement specialist to serve these two schools. Only one of the schools is Title I. Can you support the full salary with Title I funds?

If no, how might you be able to fund this staff position?

## Is this an allowable cost? 2

The County Superintendent approaches the Title I Director with an idea concerning the funding for counselors at the elementary school level. The county has eight (8) elementary schools – which are all Title I schools. The county has always had one (1) counselor per every four (4) elementary schools. The Superintendent wants Title I to fund two (2) additional counselors - so the ratio would become one (1) counselor per every two (2) elementary schools.

## Is this an allowable cost? 3

The County Superintendent approaches the Title I Director with an idea concerning funding for school nurses. The county currently employs four (4) school nurses. WV School Laws §18-5-22(b) requires one full time nurse for every 1500 grade K-7 students. The county enrollment for grades K-7 is now 4352 students. Rather than have a Reduction in Force (RIF) of one of the school nurses, the county Superintendent cites the supplemental requirement of Federal Programs funding in his rationale for having Title I fund the 4<sup>th</sup> school nurse instead of having only 3.

## Is this an allowable cost? 4

The Central Office Staff has completed its research on supplemental instructional materials that have been proven to work with struggling readers at the middle school level. The county has 2 middle schools that are grades 6-8 and 2 schools that are Pk-8. The Pk-8 schools are Title I schools – the 6-8 schools are not. The county has enough Step 7 funding to cover the costs of the supplemental instructional materials for 2 schools but not all 4 schools. Can Title I fund the materials for the Title I schools since they are supplemental materials?

## Is this an allowable cost? 5

A Title I Elementary School has \$40,000 left in the Title I budget in March due to having a position in the budget that the county was unable to fill. The school is implementing the ABC Math Curriculum as its instructional materials adoption for mathematics. There is an ABC Math Curriculum Conference in Las Vegas, Nevada during the summer. The \$40,000 could be used to fund approximately 15 teachers' participation in the conference.

## Is this an allowable cost? 6

A county is attempting to expend Title III funding. After consulting with the local ESL teachers it has been determined that the equipment used to administer the annual English Language Proficiency (ELP) Assessment has become faulty. As a result, a request has been made to purchase new recorders for the purpose of administering the assessment. Could Title I or Title III funds be used to make this purchase?

## Is this an allowable cost? 7

A Title I school formerly on Improvement provided SES at the school utilizing the RESA SES providers who were teachers from that school. They found that this tutoring did improve the participating students' academic performance. Can they use Title I funds to continue this service under Flexibility?



## Is this an allowable cost? 8

The superintendent wants Title II to pay for himself and two assistant superintendents to attend the National Superintendent's Conference. He indicates that there will be sessions related to Teacher Quality and College and Career Ready Standards and Assessment. Can Title II pay for the travel and conference fees?

## Is this an allowable cost? 9

A school with a significant English language learner population has experienced a significant outbreak of lice. The school principal wants to make sure that all parents are equally informed about the issue. Hence, the principal has requested that the letter be translated into Spanish to bridge any communication barriers with Spanish-speaking families. The school has made a request to the county office to pay for translation services in regards to producing the letter. Can Title III pay for the translation services?

## Is this an allowable cost? 10

The Title I school's needs assessment indicates that students are deficient in writing skills. One of the Title I teachers is known to be a very good writing teacher. The principal schedules the Title I teacher to provide writing instruction to all students in grades 3-5 twice each week. This also fills in the schedule since the music teacher comes three times each week. Teachers are scheduled for two planning periods daily.

# Is this an allowable cost? 11

All county central office staff have been required to substitute in schools a minimum of three days annually. How could this be accomplished without violating supplement vs. supplant considerations?

## Is this an allowable cost? 12

A county private school participating in federal programs has requested the county to pay for the attendance of private school teachers to attend a state religious conference. This would include registration fees and travel expenses. Are these allowable expenses?

# Federal Program Monitoring Goals

- Provide an academic focus for program improvement;
- Promote student achievement; and
- Provide technical assistance to schools and districts in utilizing federal funding to impact instructional practice and increase student performance.